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DOCKET NO.: UPNA-0034/P2925

PATENT

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In Re Application of:

Arjun G. Yodh, et al.

Confirmation No.: 7568

Application No.: 10/526,941

Group Art Unit: 1751

Filing Date: September 8, 2005

Examiner: Not Yet Assigned

For: CARBON NANOTUBES: HIGH SOLIDS DISPERSIONS AND NEMATIC  
GELS THEREOF

DATE OF DEPOSIT: December 8, 2006

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Dear Sir:

SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT

Pursuant to 37 CFR § 1.56 and in accordance with 37 CFR §§ 1.97-1.98, information relating to the above-identified application is hereby disclosed. Inclusion of information in this statement is not to be construed as an admission that this information is material as that term is defined in 37 CFR § 1.56(b).

- ☒ In accordance with § 1.97(b), since this Information Disclosure Statement is being filed either within three months of the filing date of the above-identified application, within three months of the date of entry into the national stage of

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the above identified application as set forth in § 1.491, before the mailing date of a first Office Action on the merits of the above-identified application, or before the mailing date of a first Office Action after the filing of request for continued examination under § 1.114, no additional fee is required.

- ☐ In accordance with § 1.97(c), this Information Disclosure Statement is being filed after the period set forth in § 1.97(b) above but before the mailing date of either a Final Action under § 1.116 or a Notice of Allowance under § 1.311, or before an action that otherwise closes prosecution in the application, therefore:

- ☐ Certification in Accordance with § 1.97(e) is attached; or  
☐ The fee of \$180.00 as set forth in § 1.17(p) is attached.

- ☐ In accordance with § 1.97(d), this Information Disclosure Statement is being filed after the mailing date of either a Final Action under § 1.113 or a Notice of Allowance under § 1.311 but before, or simultaneously with, the payment of the Issue Fee, therefore included are: Certification in Accordance with § 1.97(e); and the submission fee of \$180.00 as set forth in § 1.17(p).

- ☒ Copies of reference numbers **168 - 204** listed on the attached Form PTO-1449 are enclosed herewith.

- ☒ Copies of reference numbers **149 - 167** on the attached Form PTO 1449 are not required to be submitted pursuant to 37 CFR § 1.98(a)(2)(i).

- ☐ Copies of references - are not being submitted because they were previously cited by or submitted to the U.S. Patent and Trademark Office in patent application number , filed for which a claim for priority under 35 U.S.C. § 120 has been made in the instant application.

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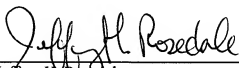
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- ☐ The relevance of those listed references which are not in the English language is as follows:

There are no listed references which are not in the English language.

Please charge any deficiency or credit any overpayment to Deposit Account No. 23-3050. This form is submitted in duplicate.

Date: December 7, 2006

  
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Sheet 1 of 5

<b>Form PTO-1449 Modified</b>  List of Patent and Publications Cited by Applicant (Use several sheets if necessary)  U.S. Department of Commerce Patent and Trademark Office	Docket No. UPNA-0034/P2952	Application No. 10/526,941
	Applicant Arjun G. Yodh, et al.	
	Filing Date September 8, 2005	Group 1751
	Confirmation No. 7568	

**U. S. PATENT DOCUMENTS**

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/BMM/	149	5,908,585	06/01/99	Shibuta	252	506
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<b>EXAMINER</b> /Brittany Martinez/	<b>DATE CONSIDERED</b> 08/04/2008
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Confirmation No. 7568		

## FOREIGN PATENT DOCUMENTS

Examiner Initial		Document No.	Date	Country	Translation	
					YES	NO
/BMM/	168	WO 01/92381 A1	12/06/01	PCT		
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	170	WO 03/078317 A1	09/25/03	PCT		
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		Confirmation No. 7568	
<b>NON-PATENT DOCUMENTS (Including Author, Title, Date, Pertinent Pages, Etc.)</b>			
/BMM/	173	Barraza, H.J., et al., "SWNT-filled thermoplastic and elastomeric composites prepared by miniemulsion polymerization," <i>Nano Letters, Am. Chem. Soc.</i> , <b>2002</b> , 797-802	
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	183	Haggenmueller, R., et al., "Processing and characterization of polymers containing single-wall carbon nanotubes," <i>Session V18 - Nanoparticles</i> , March 15, <b>2001</b> , 1 page	
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<b>NON-PATENT DOCUMENTS (Including Author, Title, Date, Pertinent Pages, Etc.)</b>			
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